## PATENT APPLICATION

## I THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77111

Eiji INOUE, et al.

Appln. No.: 10/644,770

Group Art Unit: 3682

Confirmation No.: 6891

Examiner: Not Yet Assigned

Filed: August 21, 2003

For:

TOROIDAL-TYPE CONTINUOUSLY VARIABLE TRANSMISSION AND CONTINUOUSLY

**VARIABLE TRANSMISSION APPARATUS** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/644,770

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

Submission of the attached English language abstracts of Japanese Patent Laid-

Open Publication Nos. 2001-116097A and 2000-9196A is submitted herewith and

constitutes concise statements of relevance for the respective references.

Japanese Patent Laid-Open Publication No.2001-511506 corresponds to U.S. Patent

No. 6,471,617 B1, Japanese Patent Laid-Open Publication No. 2-283949 corresponds to

U.S. Patent No. 5,033,322, Japanese Patent Laid-Open Publication No. 8-61453

corresponds to U.S. Patent No. 5,651,750 and Japanese Patent Laid-Open Publication No.

8-4869 corresponds to U.S. Patent No. 5,569,112.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 23,063

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 4, 2003

2

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

1

Sheet

Complete if Known				
Application Number	10/644,770			
Confirmation Number	6891			
Filing Date	August 21, 2003			
First Named Inventor	Eiji INOUE			
Art Unit	3682			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	Q77111			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Dublication Data		
		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 6,471,617	В	10-29-2002	Kuhn et al.	
		US 5,033,322		07-23-1991	Nakano .	
		US 5,651,750	Α	07-29-1997	Imanishi et al.	
		US 5,569,112	Α	10-29-1996	Fukushima	
		US				
		US				
		US				
		US				
		US				

			F(	DREIGN PA	TENT DOCUME	NTS	
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JP	2001-511506	A	08-14-2001		
		JP	2-283949	Α	11-21-1990		
		JР	8-61453	A	03-08-1996		
		JР	8-4869	A	01-12-1996		
		JP	2001-116097	A	04-27-2001		Abstract
		JР	2000-9196	A	01-11-2000		Abstract
		<del> </del>					

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*			Translation <sup>6</sup>	
			<del></del>	

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.